Financial Disclosure Statement

Instructions

PUBLIC OFFICIALS MUST FILE:

- Initial Statements: Due within **30 days** after taking office as a public official.
- Annual Statements: Due annually on **March 15th**; cover activity from the prior calendar year.
- Final Statements: Due within **90 days** after leaving office; cover any period during the official's service for which the public official has not already filed a statement.

CANDIDATES MUST FILE:

- State Candidates: File with Division of Elections, when filing for candidacy.
- Municipal Candidates: File a printed copy of this report with Municipal Clerk when filing for candidacy; check Municipal Clerk for deadlines.

Please **contact APOC staff** with any questions about this form:

- Email: doa.poc.apocforms_feedback@alaska.gov
- Phone: (800) 478-4176 Statewide Toll Free
  (907) 276-4176 Anchorage
  (907) 465-4864 Juneau
- In Person: 2221 E. Northern Lights Blvd., Rm. 128, Anchorage, AK 99508
  240 Main St., Rm. 201, Juneau, AK 99811

Before beginning this form:

1. Please collect any necessary financial documentation that will assist you with filling out this form. For example, you will need information regarding income, property, and other assets.
2. Please be aware that it may take a significant amount of time to complete this form and plan accordingly. Once you begin, you may save the data you have already entered by clicking the "Save & resume later" button at the bottom of the screen.
3. Please remember that once submitted this form becomes a public document. Do not include confidential information such as social security numbers and bank account numbers.

THIS REPORT IS A SWORN STATEMENT. YOUR SIGNATURE ON THE LAST PAGE CERTIFIES THAT THIS DISCLOSURE IS TRUE, CORRECT and COMPLETE.

Note: Only financial statements for state and municipal candidates, legislators, the Governor, and Lt. Governor are publicly available directly from the Commission's website. Financial statements for other public officials are available upon written request.

Note this document is up to date as of September 14, 2020. Some changes may have occurred since

Financial Disclosure Statement

Purpose of Filing

What type of position?
◉ State Office Holder ○ State Candidate ○ Municipal Office Holder ○ Municipal Candidate ○ Judicial Retention Candidate

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Branch:
- ◉ Board/Commission Member
- ○ Executive
- ○ Judicial
- ○ Legislative

Board or Commission:
Alaska Public Offices Commission

Report Type:
- ○ CANDIDATE STATEMENT: Due when filing declaration of candidacy.
- ◉ INITIAL STATEMENT: Due 30 days from appointment for new public officials (and annually thereafter.)
- ○ ANNUAL STATEMENT: Due by March 15th - for incumbent officials.
- ○ FINAL STATEMENT: Due 90 days after leaving office.

Year of Report:	Report Date From:	Report Date To:
2020	1/1/2019	12/31/2019

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Financial Disclosure Statement

State Office Holder - Board/Commission Member - Alaska Public Offices Commission - Report From: 1/1/2019 To: 12/31/2019

Contact Information

First Name:

Last Name:

Address:

City: **State:** Alaska **Zip Code:** **Country:** United States

Contact Phone: Alternate Phone:

Fax:

E-Mail:

Partner Type:
○ Spouse ○ Domestic Partner ○ None / Not Applicable

Dependent Children:
0 ***Note:*** *Includes Stepchildren and Adoptive Children.*

Non-Dependent Children living with you:
0 ***Note:*** *Only Required for Legislative Officials*

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Schedule A: Sources of Income over $1,000

~~COMPENSATION~~ **~~NONE / Not Applicable ⇒~~** ☐

- Income means anything of value and covers all forms of compensation or benefits received from an employer; compensation or benefits include wages, salary, commissions, tips, bonuses, housing, use of an automobile and deferred compensation.
- Report each employer who paid you, your spouse, domestic partner or children covered by reporting requirements more than $1,000. Include amount of income, dates of employment, terms of employment, amount of time worked. Describe the work performed in sufficient detail to make it clear to a person of ordinary understanding.
- The amount of any income more than $1,000, may be stated in a range rather than as an exact amount. 2 AAC 50.685

Edit/Delete	Earned By	Employer	Time	Description	Total Income

Earned By:
○ Filer ○ Spouse ○ Domestic Partner ○ Child

Total Income:
--- Select One ---

Employment Type:
○ Commission ○ Full-time ○ Hourly ○ Part-time ○ Project ○ Seasonal

Date From: **Date To:** **Time Worked (months/days/hours):**

Employer:

Description:

You must finish adding or cancel this action before navigating away from this step.

Save & Resume Later

Financial Disclosure Statement

State Office Holder - Board/Commission Member - Alaska Public Offices Commission - Report From: 1/1/2019 To: 12/31/2019

Schedule A: Sources of Income over $1,000

~~SELF-EMPLOYMENT COMPENSATION~~ **~~NONE / Not Applicable ⇒~~** ☐

- List each **source (client, customer or business)** of self-employment income over $1,000 by name and amount. Income means anything of value and covers all forms of compensation, including deferred income and attorney contingency fees. For clarification, see AS 39.50.200(10), "source of income"; 2 AAC 50.799(a), definition of self-employment; 2 AAC 50.695, reporting deferred income; and 2 AAC 50.704 – reporting income from attorney contingency fee agreements.
- Disclose each client, customer or business that paid you, your spouse/domestic partner or child more than $1,000. Self-employment includes sole proprietors, partnerships, limited liability companies, and professional corporations. See 2 AAC 50.700(a)
- Disclose income from corporations in which the filer, alone or in combination with one or more family members, holds a controlling interest as defined under 2 AAC 50.700(b)
- Exemptions: To obtain an exemption, you must qualify under the law, you must file a written request, and you must receive an exemption from the commission. Exemption rules: AS 39.50.035, 2 AAC 50.775, 2 AAC 50.821. An exemption request must be filed before the due date of the report for which the exemption is requested.
- For detailed information on source of income see AS 39.50.200(10) "source of income".

Edit/Delete	Earned By	Business	Time	Description	Total Income

Earned By:
○ Filer ○ Spouse ○ Domestic Partner ○ Child

Total Income:
--- Select One ---

Employment Type:
○ Commission ○ Full-time ○ Hourly ○ Part-time ○ Project ○ Seasonal

Date From: **Date To:** **Time Worked (months/days/hours):**

Business Name:

For each client who paid more than $1,000 for the same service enter their name and full address below and click the blue 'Add Client' button. A client is not added until there is a red 'Remove' button by their name.

Client Name	Amount
	$1,000 - $2,000

Description:

You must finish adding or cancel this action before navigating away from this step.

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Financial Disclosure Statement

State Office Holder - Board/Commission Member - Alaska Public Offices Commission - Report From: 1/1/2019 To: 12/31/2019

Schedule A: Sources of Income over $1,000

~~**RENTAL INCOME**~~ ~~**NONE / Not Applicable ⇒**~~ ☐

- If any person paid more than $1,000 in rent during the preceding calendar year, report the name of the person and the amount of the rent paid, and, if the property is managed by a person other than the filer or a family member of the filer, additionally report the manager's name. 2 AAC 50.725 Disclose the location of the property under "Real Property Interests".

Edit/Delete	Owner	Tenant	Amount

Owner(s):
☐ Filer ☐ Spouse ☐ Domestic Partner ☐ Child

Amount:
--- Select One ---

Tenant Name:

Manager's Name (if applicable):

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Schedule A: Sources of Income over $1,000

DIVIDENDS, INTEREST AND OTHER BUSINESS/INVESTMENT DISTRIBUTIONS OF EARNINGS

NONE / Not Applicable ⇒ ☐

- **Please remember to add your PFDs to this section if applicable.**
- Disclose source and amount of income **over $1,000** received from dividends, interest and other distributions of earnings from a business or investment
- Include dividends or interest **received** from bank accounts, capital gains, money market accounts, certificates of deposit, Native corporation dividends, Permanent Fund dividends
- Note: This section refers only to amounts received during the reporting period; there is a separate section for disclosing business interest information.

Edit/Delete	Recipient	Source	Amount

Recipient:
○ Filer ○ Spouse ○ Domestic Partner ○ Child

Amount:
--- Select One ---

Source:

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State Office Holder - Board/Commission Member - Alaska Public Offices Commission - Report From: 1/1/2019 To: 12/31/2019

Schedule A: Sources of Income over $1,000

~~**GIFTS WORTH MORE THAN $250**~~ ~~**NONE / Not Applicable ⇒**~~ ☐

- Public Official Filers ONLY - Legislative filers are **Exempt**
- Legislators must submit more detailed disclosure reports to the Legislative Ethics Committee.
- Report all gifts worth more than $250 (including gifts from a single source with a cumulative value of more than $250). Include travel expenses, discounts not available to the public, loans forgiven or loans paid by a third party. Do not report gifts from spouse, domestic partner, parent, dependent child, sibling, grandparent, aunt, uncle, niece or nephew.

Edit/Delete	Recipient	Source	Description	Value

Recipient:
○ Filer ○ Spouse ○ Domestic Partner ○ Child

Value:
--- Select One ---

Source:

Description:

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Financial Disclosure Statement

State Office Holder - Board/Commission Member - Alaska Public Offices Commission - Report From: 1/1/2019 To: 12/31/2019

Schedule A: Sources of Income over $1,000

OTHER INCOME **NONE / Not Applicable ⇒** ☐

- List source and amount of income over $1,000 not listed elsewhere in this form, including sale of goods or property, taxable capital gains, pensions, retirement account cash-outs, government entitlements, alimony or child support payments, honoraria and any other payments not otherwise accounted for.

Edit/Delete	Recipient	Source	Amount

Recipient:
○ Filer ○ Spouse ○ Domestic Partner ○ Child

Amount:
--- Select One ---

Source:

You must finish adding or cancel this action before navigating away from this step.

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Schedule B: Business Interests

BUSINESS INTERESTS **NONE / Not Applicable ⇒** ☐

- Report business interests even if they were NOT a source of income, including businesses in which the filer or family member (spouse, domestic partner, dependent children and, for legislative branch filers ONLY, nondependent children living with the filer):
  1. Served as stockholder, owner, officer, director, partner, proprietor, employee or held an interest.
  2. Had ownership interests of more than $1,000 in a publicly traded corporation.
  3. Had any other ownership interest in a business, including shares in non-publicly traded corporations, sole proprietorships, limited liability companies. Include options to buy.
  4. Include non-profit organizations, corporations, businesses, associations, trade groups.
- If the business was a source of income over $1,000, it must also be reported in Schedule A.

Edit/Delete	Interest Owner	Business	Position / Interest Type	Description

Interest Owner:
☐ Filer ☐ Spouse ☐ Domestic Partner ☐ Child

Position / Type of Interest(s):
☐ Stockholder ☐ Owner ☐ Director ☐ Partner ☐ Proprietor ☐ Employee ☐ Held Interest ☐ Other

Business Name:

Business Address:

City: **State:** Alaska **Zip Code:** **Country:** United States

You must finish adding or cancel this action before navigating away from this step.

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Financial Disclosure Statement

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Schedule C: Real Property Interests

~~**REAL PROPERTY INTERESTS**~~ ~~**NONE / Not Applicable ⇒**~~ ☐

- Report an interest in real property by the address or other legal description of the property, except that a primary residence or recreational property held for personal use may be described only by zip code. Enter 'Not Reported' for Address and City if this applies to you.
- Report the nature of the interest that the filer or family member held in the property; the nature of interests to be reported includes fee simple ownership, tenancy in common, general or limited partnership interest, and holder of an option to purchase. If property is jointly owned, check all boxes that apply.

Edit/Delete	Owner(s)	Address or Legal Description	Ownership Interest

Owner(s):
☐ Filer ☐ Spouse ☐ Domestic Partner ☐ Child ☐ Other

Street Address or Legal Description:

City: **State:** Alaska **Zip Code:**

Country: United States

Ownership Interest:
☐ Fee Simple ☐ Tenant in Common ☐ General Partnership ☐ Limited Partnership ☐ Other

You must finish adding or cancel this action before navigating away from this step.

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Schedule D: Beneficial Interests

TRUSTS, RETIREMENT ACCOUNTS OR OTHER BENEFICIAL INTERESTS **NONE / Not Applicable ⇒ ☐**

- Report each trust, retirement account or other beneficial interest that exceeded $1,000 during the reporting period, including a state or federally administered retirement system plan, employee pension plans, profit-sharing trusts, family trust, education trusts, deferred compensation plans, annuity plans or any other similar arrangement intended to provide future income the filer or family member.
- Identify individual investments accounts if you or family members manage or personally control the investments.

Edit/Delete	Owner(s)	Managed By	Interest Holder	Fund or Companies	Percent

Owner(s):
☐ Filer ☐ Spouse ☐ Domestic Partner ☐ Child

Percent Owned: %

Name of Interest Holder:

Managed By:

Identify Fund or Companies:

You must finish adding or cancel this action before navigating away from this step.

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State Office Holder - Board/Commission Member - Alaska Public Offices Commission - Report From: 1/1/2019 To: 12/31/2019

Schedule E: Loans and Debts

~~LOANS, LOAN GUARANTEES & DEBTS OVER $1,000~~ **~~NONE / Not Applicable ⇒~~** ☐

- Report each creditor or lender to whom more than $1,000 was owed during the reporting period.
- Report guarantor of each loan.
- List financial obligations, including mortgages on property owned or sold during the reporting period; loans that have been guaranteed; delinquent taxes; alimony; child support payments; medical bills; boat and vehicle loans; business and personal loans; escrows; student loans; signature loans and promissory notes.
- Loans include secured, unsecured and contingent loans.
- Do NOT list credit card obligations or revolving charge accounts.
- Legislative branch filers must report additional details: original amount of the obligation, the current balance owed, interest rate, length of the loan and whether a written agreement exists for a creditor or lender who:
  - Lobbies or hired lobbyists
  - Had contracts or sought contracts worth more than $10,000 with any state agency
  - Was a municipal or local government entity
  - Was affected financially – in an amount exceeding $1,000 – by an act of the legislature or state agency decision, including actions affecting professional or occupational licenses; natural resource permits or quotas; assessments; tax rates; health, safety or environmental standards; insurance or business practices.

Edit/Delete	Owner	Debt Type	Name

Debtor:
☐ Filer ☐ Spouse ☐ Domestic Partner ☐ Child

Debt Type:
○ Creditor ○ Guarantor ○ Lender

Name:

You must finish adding or cancel this action before navigating away from this step.

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Schedule F: Leases

~~GOVERNMENT CONTRACTS AND OFFERS TO CONTRACT~~ ~~NONE / Not Applicable ⇒~~ ☐

- List all contracts, bids and offers to contract with the state or any state or municipal agency or entity.
- Report contract interests as individual, sole proprietor, family member, partnership, professional corporation, limited liability company or through a corporation in which filer or family members held a controlling interest.

Edit/Delete	Contract Holder(s)	Contract ID	Contract Agency	Status	Type of Interest	Description

Contract Holder(s):
☐ Filer ☐ Spouse ☐ Domestic Partner ☐ Child

Type of Interest:

Status:
○ Bid ○ Held ○ Offer

Contract ID (name/number):

Contracting Agency:

Contract Description:

You must finish adding or cancel this action before navigating away from this step.

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Financial Disclosure Statement

Schedule F: Leases

~~NATURAL RESOURCE LEASES~~ **~~NONE / Not Applicable ⇒~~** ☐

- List natural resource leases – including mineral, timber, oil and gas leases – held, bid or offered during the reporting period.
- Report lease interests as individual, sole proprietor, family member, partnership, professional corporation, limited liability company; or corporation in which you or family (individually or together) held controlling interest.

Edit/Delete	Lease Holder(s)	Lease ID	Status	Type of Interest	Description

Lease Holder(s):
☐ Filer ☐ Spouse ☐ Domestic Partner ☐ Child

Type of Interest:

Status:
◯ Bid ◯ Held ◯ Offer

Lease ID (name/number):

Lease Description:

You must finish adding or cancel this action before navigating away from this step.

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www.boards.alaska.gov/resources boards@alaska.gov APOC Filing Example

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Schedule G

CLOSE ECONOMIC ASSOCIATIONS **NONE / Not Applicable ⇒** ☑

You are exempt from filling out this information. Please continue by clicking "Next"

- **EXEMPT:**
  1. Municipal and local officials are exempt from reporting close economic associations.
  2. Members of state boards and commissions are exempt from reporting close economic associations.
- **STATE PUBLIC OFFICIALS:** Disclose financial relations with legislators, other public officials and lobbyists.
- **LEGISLATIVE BRANCH:** Disclose financial relations with public officials, lobbyists, other legislators, and legislative employees. Report close economic association detailed information to the Legislative Ethics Committee.
- **CLOSE ECONOMIC ASSOCIATION** means a financial relationship between public officials, legislators and lobbyists, including shared interests in a business, property, association, partnership, corporation or LLC.
- **CHANGES:** Report new close economic associations within 60 days.

No Associations Found.

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Schedule G

FILERS WITH A LOBBYIST SPOUSE or PARTNER **NONE / Not Applicable ⇒** ☑

You are exempt from filling out this information. Please continue by clicking "Next"

- **EXEMPT:** Local officials and members of state boards and commissions are exempt. Check NONE.
- **STATE PUBLIC OFFICIALS with a lobbyist spouse or domestic partner:** Report names and addresses of each employer of the lobbyist and the total monetary value received from each of the lobbyist's employers.
- **LEGISLATIVE BRANCH filers with a lobbyist spouse or domestic partner:** Disclose employer of lobbyist and compensation, and report details to the Legislative Ethics Committee.
- **CHANGES:** Report changes in lobbyist's employer within 48 hours of the change.

No Employers Found.

- The next page is a review page that asks the filer to review for accuracy, all the information they have entered.

- The final page is the signing ceremony where the filer will electronically sign and submit their form by entering their myAlaska password.

- **Note:** Only financial statements for state and municipal candidates, legislators, the Governor, and Lt. Governor are publicly available directly from the Commission's website. Financial statements for other public officials are available upon written request.

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